

09/647753

TRANSMITTAL LETTER TO THE
UNITED STATES RECEIVING OFFICE

| | |
|-------------------------------|----------------|
| Date | 08 July 1999 |
| International Application No. | PCT/US98/25875 |
| Attorney Docket No. | 10048-347PC |

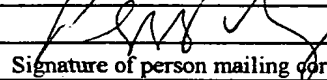
528 Rec'd PCT/PTO 04 OCT 2000

I. Certification under 37 CFR 1.10 (if applicable)

| |
|------------------|
| EL270921085US |
| Express Mail No. |

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|-----------------|
| 08 July 1999 |
| Date of Deposit |

I hereby certify that this application/correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

| |
|---|
|  |
| Signature of person mailing correspondence |

| |
|--|
| Peggy A. Moloney |
| Typed or printed name of person mailing correspondence |

II. ☐ New International Application

| | | |
|-------|--|--|
| TITLE | | Earliest priority date (Day/Month/Year) |
| | | |

DISCLOSURE INFORMATION: In order to assist in screening the accompanying application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications need not be claimed and this listing does not constitute a claim for priority)

| | | | |
|------------|--|----------|--|
| Appln. No. | | filed on | |
| Appln. No. | | filed on | |

- D. ☐ The present international application ☐ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph 3 above.
- E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. ☐ A Petition for an Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

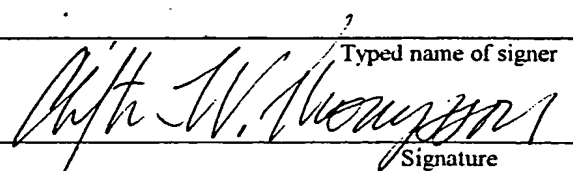
| | | | | | |
|-------|--|-----------------------------|-------|--|-----------------|
| pages | | of the request (PCT/RO/101) | pages | | of the figures |
| pages | | of the description | pages | | of the abstract |
| pages | | of the claims | | | |

- D. ☐ Submission of Priority Documents

| | | | |
|-------------------|--|-------------------|--|
| Priority Document | | Priority Document | |
|-------------------|--|-------------------|--|

- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT Rule 9.1 ☐ A Petition ☐ A Sequence Listing DisketteV. ☒ Other (please identify): Demand for Preliminary ExaminationThe person
signing this
form is the:

| | |
|---|---|
| <input type="checkbox"/> Applicant | Clifton W. Thompson |
| <input checked="" type="checkbox"/> Attorney/Agent (Reg. No. 36,947) | Typed name of signer |
| <input type="checkbox"/> Common Representative |  Signature |

The demand must be filed directly with the competent International Preliminary Examining Authority or, if two or more Authorities are competent, with the one chosen by the applicant. The full name or two-letter code of that Authority may be indicated by the applicant on the line below

IPEA/US

CHAPTER II

PCT DEMAND

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

| | |
|---------------------------|--|
| Identification of IPEA | For International Preliminary Examining Authority use only |
| Date of receipt of DEMAND | |

| | | |
|---|--|---|
| Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION | | Applicant's or agent's file reference 310048-347PC |
| International application No. PCT/US98/25875 | International filing date (<i>day/month/year</i>) 07 December 1998 | (Earliest) Priority date (<i>day/month/year</i>) 08 December 1997 |
| Title of invention: CONTROLLED DROPLET FORMED LAYERED STRUCTURES | | |
| Box No. II APPLICANT(S) | | |
| Name and Address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>) AVERY DENNISON CORPORATION 150 North Orange Grove Boulevard Pasadena, California 91103 United States of America | | Telephone No.: Facsimile No.: Teleprinter No.: |
| State (<i>i.e. country</i>) of Nationality: US | | State (<i>i.e. country</i>) of residence: US |
| Name and Address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>) AKHAVE, Jay R. 2307 Meadowglen Street Upland, California 91784 United States of America | | |
| State (<i>i.e. country</i>) of Nationality: US | | State (<i>i.e. country</i>) of residence: US |
| Name and Address: (<i>Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.</i>) GERMERAAD, Paul B. 3701 Madison Road La Canada, California 91011 United States of America | | |
| State (<i>i.e. country</i>) of Nationality: US | | State (<i>i.e. country</i>) of residence: US |
| <input checked="" type="checkbox"/> Further applicants are indicated on a continuation sheet. | | |

Continuation of Box No. II APPLICANT(S)

Name and Address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

LICON, Mark Anthony
266 North Rock River Drive
Diamond Bar, California 91765
United States of America

State (i.e. country) of Nationality: US

State (i.e. country) of residence: US

Name and Address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

ECKHARDT, Timothy Ryan
255 East Hurst Street
Covina, California 91723-1128
United States of America

State (i.e. country) of Nationality: US

State (i.e. country) of residence: US

Name and Address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

REAVES, Jessie C.
1159 South Longwood Avenue
Los Angeles, California 90019
United States of America

State (i.e. country) of Nationality: US

State (i.e. country) of residence: US

Name and Address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

IYER, Pradeep S.
3111 Cabo Blanco Drive
Hacienda Heights, California 91745
United States of America

State (i.e. country) of Nationality: US

State (i.e. country) of residence: US

Name and Address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

POPAT, Ghanshyam H.
10365 Bristol Drive
Alta Loma, California 91737
United States of America

State (i.e. country) of Nationality: US

State (i.e. country) of residence: US

☐ Further applicants are indicated on a continuation sheet.

BOX No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The following person is ☒ agent ☐ common representative
 and ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination.
☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.
☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.

Name and Address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

THOMPSON, Clifton W.
OPPENHEIMER WOLFF & DONNELLY LLP
2029 CENTURY PARK EAST
SUITE 3800
LOS ANGELES, CALIFORNIA 90067-3024
UNITED STATES OF AMERICA

Telephone No.: (310) 788-5000

Facsimile No.: (310) 277-1297

Teleprinter No.: NA

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No. IV. BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION**STATEMENT CONCERNING AMENDMENTS: ***

1. The applicant wishes the international preliminary examination to start on the basis of

☒ the international application as originally filed
 the description ☐ as originally filed
☐ as amended under Article 34

the claims ☐ as originally filed
☐ as amended under Article 19 (together with any accompanying statement)
☐ as amended under Article 34

the drawings ☐ as originally filed
☐ as amended under Article 34

2. ☐ The applicant wishes any amendments of the claims under Article 19 to be considered as reversed.

3. ☐ The applicant wishes the start of the international preliminary examination to be postponed until the expiration of 20 months from the priority date unless that Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Language for the purposes of international preliminary examination: English

☒ which is the language in which the international application was filed.
☐ which is the language of a translation furnished for the purposes of international search.
☐ which is the language of publication of the international application.
☐ which is the language of the translation (to be) furnished for the purposes of international preliminary examination.

BOX NO. V ELECTION OF STATES

The applicant hereby elects all eligible States *(that is, all States which have been designated and which are bound by Chapter II of the PCT)*

excluding the following States which the applicant wishes not to elect:

BOX No. VI CHECK LIST

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

- | | |
|--|--------|
| 1. translation of international application | sheets |
| 2. amendments under Article 34 | sheets |
| 3. copy (or, where required, translation) of of amendments under Article 19 | sheets |
| 4. copy (or, where required, translation) of of statement under Article 19 | sheets |
| 5. letter | sheets |
| 6. other (<i>specify</i>) | sheets |

For International Preliminary
Examining Authority use only

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| received | not received |
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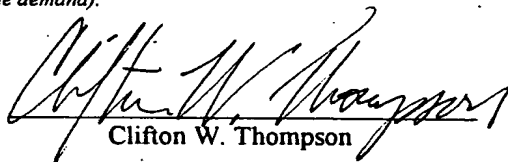
| | |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |
|--------------------------|--------------------------|

The demand is also accompanied by the item(s) marked below:

- | | |
|---|--|
| 1. <input checked="" type="checkbox"/> fee calculation sheet | 4. <input type="checkbox"/> statement explaining lack of signature |
| 2. <input type="checkbox"/> separate signed power of attorney | 5. <input type="checkbox"/> nucleotide and or amino acid sequence listing in computer readable form |
| 3. <input type="checkbox"/> copy of general power of attorney; reference number, if any: | 6. <input checked="" type="checkbox"/> other (<i>specify</i>): Transmittal Sheet, Check and Postcard |

Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).


Clifton W. Thompson

08 July 1999

Date

1. Date of actual receipt of DEMAND:

For International Preliminary Examining Authority use only

2. Adjusted date of receipt of demand due
to CORRECTIONS under Rule 60.1(b):

3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months
from the priority date and item 4 or 5 below, does not apply.

☐ The applicant has been
informed accordingly.

4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by
virtue of Rule 80.5.

5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is
EXCUSED pursuant to Rule 82.

Demand received from IPEA on:

For International Bureau use only

CHAPTER II

PCT

FEE CALCULATION SHEET

Annex to the Demand for international preliminary examination

| | |
|--|--|
| International Application No. PCT/US98/25875 | For International Preliminary Examining Authority use only Date Stamp of the IPEA |
| Applicant's or agent's file reference: 310048-347PC | Date Stamp of the IPEA |
| APPLICANT AVERY DENNISON CORPORATION, ET AL | |
| Calculation of prescribed fees | |
| 1. Preliminary examination fee | \$490.00 [P] |
| 2. Handling Fee (<i>Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.</i>) | \$162.00 [H] |
| 3. Total of prescribed fees Add the amounts entered at P and H and enter total in the TOTAL box..... | \$ 652.00 TOTAL |
| Mode of Payment | |
| <input type="checkbox"/> authorization to charge deposit account with the IPEA (see below) | <input type="checkbox"/> cash |
| <input checked="" type="checkbox"/> cheque | <input type="checkbox"/> revenue stamps |
| <input type="checkbox"/> postal money order | <input type="checkbox"/> coupons |
| <input type="checkbox"/> bank draft | <input type="checkbox"/> other (specify): |
| DEPOSIT ACCOUNT AUTHORIZATION (<i>this mode of payment may not be available at all IPEAs</i>) | |
| The IPEA/US <input type="checkbox"/> is hereby authorized to charge the total fees indicated above to my deposit account. | |
| <input checked="" type="checkbox"/> (<i>this check-box may be marked only if the conditions for deposit accounts of the IPEA so permit</i>) is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account. | |
| 16-2230 Deposit Account Number | 08 July 1999 Date (day/month/year) |
| Signature <i>Clifton W. Thompson</i> Signature Clifton W. Thompson | |